

# Ministry of Higher Education, Science, Research and Innovation, Thailand (MHESI)



# International Workshop on Intellectual Property Management and Technology Licensing

18 - 20 June 2019, Bangkok, Thailand

(Venue: Rama Gardens Hotel Bangkok, 9/9 Vibhavadi Rangsit Road, Laksi, Bangkok 10210 Thailand)

Organized by

Asian and Pacific Centre for Transfer of Technology (APCTT) of the United Nations Economic and Social Commission for Asia and the Pacific (ESCAP)

Jointly with

Ministry of Higher Education, Science, Research and Innovation (MHESI), Thailand

&

Thailand Institute of Scientific and Technological Research (TISTR)

#### Background

Intellectual property (IP) is a key component of national innovation systems for stimulating economic growth and promoting inclusive and sustainable development. IP is not only a valuable strategic asset for research and development (R&D) institutes and enterprises, but also key to their success. Effective exploitation of IP is crucial to achieve Sustainable Development Goal 9 (build resilient infrastructure, promote sustainable industrialization and foster innovation).

Enabling IP policies are key drivers of innovation, technology transfer and enterprise development. It is equally important to protect the IP of inclusive and grassroots innovations which offer innovative solutions for local problems. Effective management of IP is considered essential for the micro, cottage, small and medium enterprises which form the backbone of many Asia Pacific economies. Without appropriate awareness and management skills, the enterprises may be unaware of the value or benefits of their IP, or may expose themselves to market risks.

R&D institutes and technology-based enterprises deal with patents, utility models, trademarks, trade secrets, industrial designs, copyrights, geographical indications (GI), and new varieties of plants. Efficient management and licensing of IP has been a challenge due to limited human resource capability and management skills, especially in developing economies. It is essential that the policymakers, R&D organizations and enterprises establish a clear policy mandates and procedures for IP management and technology licensing.

# Agenda / Programme

The Workshop will bring together policymakers and experts to deliberate on policy issues, challenges and opportunities that are critical in IP management and technology licensing for commercial exploitation. The programme will comprise of technical presentations and group exercises focusing on: (a) policy frameworks for IP management and technology licensing; (b) IP planning and portfolio management; (c) Business-focused IP management; and (d) Technology licensing and transfer by R&D institutes and enterprises.

#### **Objectives**

- Brainstorm on innovative policy mechanisms, strategies and challenges of IP management and technology licensing;
- Increase the knowledge and understanding of policy- and decision-makers to strengthen and leverage IP management strategy for commercial success;
- Enhance the skill and capability of stakeholders to use innovative tools and best practices for efficient IP management and technology licensing; and
- Promote regional cooperation for cross-border technology transfer commercialization.

#### **Participants**

- Policy makers, experts, managers of technology licensing/transfer offices of R&D institutes, and technology-based enterprises; and
- Representatives from Government agencies, Universities, R&D institutions, academia, innovators, and the private sector

# **Tentative Programme**

## June 18, 2019 (Tuesday)

0800-0900	Registration	
0900-0945	Opening Session	
	Welcome Address	Dr. Chutima Eamchotchawalit
		Governor, Thailand Institute of Scientific
		and Technological Research (TISTR),
		Thailand (Tbc)
	Opening Address	Ms. Michiko Enomoto
		Head, Asian and Pacific Centre for
		Transfer of Technology (APCTT) of the
		United Nations Economic and Social
		Commission for Asia and the Pacific
		(ESCAP)
	Opening Remarks	Dr. Mia Mikic
	opening nemarks	Director, Trade, Investment and
		Innovation Division (TIID), ESCAP
	Packground of the Programme	<b>Dr. Aparat Mahakhant</b> Deputy
	Background of the Programme	Governor, TISTR, Thailand
	GROUP PHOTO	
0945-1015	TEA / COFFEE	

#### 1015-1200

Session I: Enabling policy frameworks and Tools for Intellectual Property Management for inclusive and sustainable development – Global and national perspectives

This session will deliberate on the implications of global protocols and enabling national policies on intellectual property management and technology licensing for stimulating economic growth and promoting inclusive and sustainable development. The session will also discuss on the key issues and challenges that R&D institutes, technology-based enterprises, cottage and small industry and

grassroots innovators often encounter in managing and commercializing their intellectual property assets.

## Moderator: Ms. Mia Mikic, Director, TIID-ESCAP

1015-1040	Intellectual property for promoting	Ms. Michiko Enomoto
	inclusive innovation - policy tools and	Head, APCTT-ESCAP
	strategies	
1040-1105	Intellectual property management and	Dr. Piengpen Wongnapapan
	licensing – Policy perspectives from Thailand	Chief Operating Officer, Knowledge
		Exchange King Mongkut's University of
		Technology Thonburi, Thailand
1105-1130	International arrangements related to	Dr. Neeti Wilson
	Global Harmonization and Integration of IP	Partner - Patent, Design, Plant variety
	(including the Budapest treaty)	Anand and Anand, India
1130-1200	Augmenting grassroots innovations and	Dr. Vipin Kumar
1130-1200	Augmenting grassroots innovations and traditional knowledge – Role of IPR policies	<b>Dr. Vipin Kumar</b> Director and Chief Innovation Officer,
1130-1200		· ·
1130-1200		Director and Chief Innovation Officer,

#### 1315-1700

## Session II: Intellectual Property Planning and Portfolio Management

The planning, gathering, and analysis of IP information is vital for strategic decision making in the management of innovation, technology transfer and commercialization. Due to the sheer volume of patent information, the importance of IP planning and portfolio management has been increasing significantly within academic entities and R&D institutes. This session will discuss some of the key processes and tools that enable acquisition, analysis, and organization of IP information.

## Moderator: Ms. Michiko Enomoto, Head, APCTT-ESCAP

1315-1420	IP generation, protection and enforcement  – Organisation and road mapping for strategic alignment	<b>Dr. Neeti Wilson</b> Partner - Patent, Design, Plant variety Anand and Anand, India
1420-1500	Tools for managing intellectual property – Identification and protection of IP assets and rights; IP mining & audit; patent analysis, mapping, visualization	<b>Dr. Neeti Wilson</b> Partner - Patent, Design, Plant variety Anand and Anand, India
1500-1520	TEA / COFFEE	
1520-1550	Valuation and valorization of intellectual	Dr. Neeti Wilson

	property	Partner - Patent, Design, Plant variety
		Anand and Anand, India
1550-1700	SWOT analysis of intellectual property –	Group Exercise (To be facilitated by
	Alignment of IP with R&D and business	APCTT)
	goals	

# June 19, 2019 (Wednesday)

#### 0900-1200

# Session III: Business-focused Intellectual Property Management

R&D agencies and technology-based enterprises can leverage their intellectual property portfolio for commercial success and revenue generation. For example, the patents need to be market-ready and commercially viable for attracting investors. The organizations would require adopting innovative business models and strategies for commercializing their intellectual property such as patents. This session will focus on sharing experiences and best practices to effectively use the intellectual property for commercial success.

**Moderator: Dr. Youngtack Shim**, Professor, State University of New York in Korea, Republic of Korea

0900-0945	Building strategy for maximizing	Dr. Neeti Wilson
	intellectual property value; Intellectual	Partner - Patent, Design, Plant variety
	property due diligence	Anand and Anand, India
0945-1020	Intellectual property management as a	Dr. Akkharawit Kanjana-Opas
	strategy for competitiveness and business	Acting Vice President,
	success – Perspectives from Thailand	National Science and Technology
		Development Agency, Thailand
1020-1040	TEA / COFFEE	
1040-1120	Intellectual property as a tool for financing	Dr. Youngtack Shim
	innovation and technology	Professor, State University of NY in
	commercialization	Korea (SUNY Korea),
		Republic of Korea
1120-1200	Understanding measures to avoid	Resource Person from China
	intellectual property infringement –	(through APCTT) - Tbc
	Experiences from China	
1200-1315	LUNCH	

#### 1315-1700

Session IV: Technology Licensing and Transfer in Universities and R&D institutes – Tools and best

# practices

This session will focus on the key challenges, strategies and tools for licensing technologies; and effective ways and means for managing technology transfer processes including issues related to intellectual property rights (IPRs), drafting technology licensing agreements, negotiation techniques, and alternative dispute resolution methods through arbitration and mediation.

Moderator:	<b>Dr.Aparat Mahakhant</b> Deputy Governor,	Thailand Institute of Scientific and
	Technological Research, Thailand	
1315-1350	Building strategy for maximizing	Dr. Neeti Wilson
	intellectual property value; Negotiations in	Partner - Patent, Design, Plant variety
	technology transfer and licensing	Anand and Anand, India
1350-1415	IPR issues in collaborative research and	Dr. Orakanoke Phanraksa
	technology transfer	Senior IP Consultant, Technology
		Management Center, National Science
		and Technology Development Agency,
		Thailand
1415-1440	Facilitating regional cooperation in	Mr. Krishnan Srinivasaraghavan,
	promoting cross-border technology	Coordinator, APCTT-ESCAP
	partnerships – The Role of APCTT	
1440-1500	Preparing technology transfer and business	Mr. Satyabrata Sahu
	partnership agreements – key	Coordinator, APCTT-ESCAP
	considerations	
1500-1520	TEA / COFFEE	
1520-1550	Alternative dispute resolution in	Dr. Neeti Wilson
	technology transfer and licensing through	Partner - Patent, Design, Plant variety
	arbitration and mediation	Anand and Anand, India
1550-1700	Important clauses of technology licensing	Group Exercise (To be facilitated by
	agreement	APCTT)

# June 20, 2019 (Thursday)

0900-1200		
Session V: Round-table discussion - Intellectual property management and Technology Licensing		
Moderator: Dr. Neeti Wilson, Anand and Anand, India		
0900-0910	Introduction of the topics and format of	Dr. Neeti Wilson

	roundtable discussion	Partner - Patent, Design, Plant
	Touridadic discussion	variety
		Anand and Anand, India
0910-1010	Challenges on ensuring IP protection and	Panelists - Representatives from
	utilization for inclusive development -	Bangladesh, Bhutan, Cambodia, Lao
	Micro, cottage, small & medium industry	PDR, Myanmar
		<ul> <li>Ms. Nargis Akter Dolly, Deputy Secretary, Ministry of Science and Technology, Bangladesh</li> <li>Mr. Tempa Tshering, Chief Intellectual Property Officer, Department of Intellectual Property, Ministry of Economic Affairs, Bhutan</li> <li>Ms. Karma Yanka, Dy. Chief Industry Officer, Department of Cottage and Small Industry, Ministry of Economic Affairs, Bhutan</li> <li>Ms. Khanlasy Keobounphanh, Director General, Department of Intellectual Property, Ministry of Science and Technology, Lao PDR</li> </ul>
1010-1030	TEA / COFFEE	
1030-1100	IP management and technology licensing –	Dr. Wilaiporn Chetanachan
	Perspectives from Thailand	Director, Corporate Technology
		Office, Siam Cement Public Company
		Limited, Thailand
1100-1210	Strategies and best practices of IP	Panelists - Representatives from
	management and technology licensing –	India, Iran, Pakistan, Viet Nam
	Industry and R&D institutes	Dr. Vipin Kumar, Director,
	,	National Innovation Foundation-
		<ul><li>India</li><li>Dr. Mohammad Abedi, Director</li></ul>
		of Intellectual Property and
		Technology Commercialization,
		Iranian Research Organization for
		Science and Technology, Iran
Closing Sessi	ion	Science and recimology, mail
1210-1230	Closing Remarks	Dr. Aparat Mahakhant
1210 1230	Sissing Remarks	Deputy Governor, Thailand Institute
		Deputy Governor, manana mistitute

		of Scientific and Technological
		Research Thailand
		Ms. Michiko Enomoto
		Head, Asian and Pacific Centre for
		Transfer of Technology
1230-1330	LUNCH	
1330-1700	Field Visit to Technology Licensing Office of National Science and Technology	
	Development Agency (NSTDA), Thailand	
	Dr. Sanat Wongthawethong	
	Director, Key Account Management Division	
	National Science and Technology Developme	ent Agency (NSTDA)