

# Fostering Innovation and Commercialization of Green Nanotechnology for Market Leadership and Competitiveness

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Asian Institute of Technology

International Conference on Nanotechnology for Safe and Sustainable Development

2 May 2017

Kuala Lumpur, Malaysia



# OBJECTIVES

- Focus on nanotechnology (green) development
  - Enabling policy mechanisms
  - Enabling regulatory frameworks
  - **Enabling R&D and innovation**
  - Enabling commercialization and market development
  - **Enabling technology transfer**
  - **Enabling safety issues**
  - *Understand emerging practices and strategies for nanotechnology innovation, research commercialization, technology transfer and safety compliance in the region*





# AIT

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COEN



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# Research and Testing Laboratories

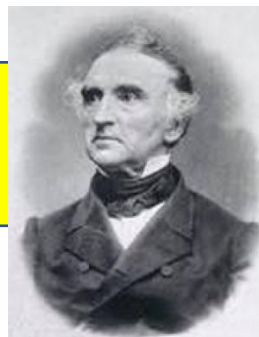




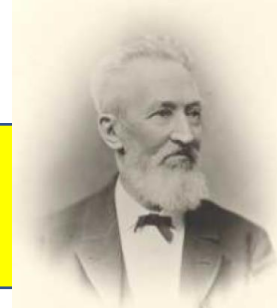
**Claude. Louis Berthollet**  
 University of Turin  
**1768**  
 Chemical Equilibria



**Joseph Louis Gay-Lussac**  
 University of Paris  
**1800**  
 Water Structure/ Gas Laws



**Justus von Liebig**  
 University of Erlangen  
**1822**  
 Founder of  
 Organic Chemistry



**Dr. Carl Schmidt**  
 University of Giessen  
**1844**  
 Biochemical Crystallization

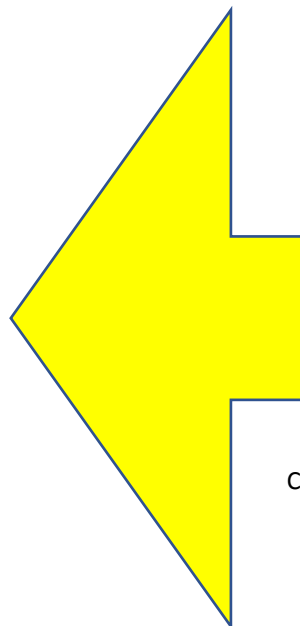


**Wilhelm Ostwald**  
 University of Dorpat  
**1875**  
 Nobel Prize: Catalysis



**Sir James Walker**  
 University of Leipzig  
**1889**  
 Electrolysis/  
 Carboxylic Acids

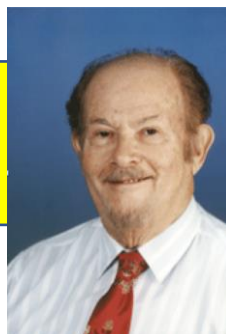
# Louis Hornyak **ACADEMIC TREE**



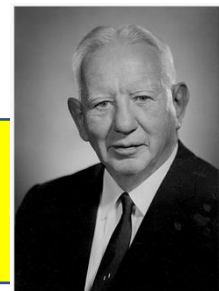
**Dr. G. Louis Hornyak**  
 Colorado State University  
**1997**  
 Optical Nanogold



**Dr. Charles R. Martin**  
 University of Arizona  
**1980**  
 Template Synthesis  
 Electrochemistry



**Dr. Henry Freiser**  
 Duke University  
**1944**  
 Ionic Equilibria



Paul M. Gross  
**Dr. Paul Magnus Gross**  
 University of Columbia  
**1919**  
 Fluorine Chemistry



**Dr. James P. Kendall**  
 University of Edinburgh  
**1911**  
 Solution Chemistry

# Nanotechnology Background

- Involved since 1990
- Watched its development
- The 'hype' was (and still is) real
- Not just a buzzword
- Written several textbooks on nanotechnology
- The number of journals, large conferences, products ..... Is real
- But how is it different??



# Green Nanotechnologies

*“People are realizing that green engineering materials are real and gaining momentum worldwide”*

*“Creation of new businesses that spur new job markets are on the rise.”*





# Green Nanotechnology?

- Most Important: ENERGY
  - Most Important: CLEAN WATER
  - Most Important: CLEAN AIR
  - Most Important: CLEAN FOOD
  - Most Important: HEALTH CARE
- *“What we breathe, what we drink, what keeps us warm and moves us, what we eat, what keeps us healthy.....”* Anything else?



# Fostering Innovation?



[fosteringinnovation.info/?page\\_id=69](http://fosteringinnovation.info/?page_id=69)



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# Fostering Innovation

- Foster is to "encourage *or promote the development of....*"
- *“In applying these principles, regulators should use flexible, adaptive, and evidence-based approaches that avoid, wherever possible, hindering innovation and trade while fulfilling responsibility to protect public health and the environment.”*

[www.timharper.net/nanotech-regulation-fostering-innovation-while-protecting-public-health/](http://www.timharper.net/nanotech-regulation-fostering-innovation-while-protecting-public-health/)



# Or ..... From the UN

- *“Policy makers and regulators may consider a fine balance between accessibility and affordability in the mission of making sure that ‘human development reaches everyone everywhere.’”*

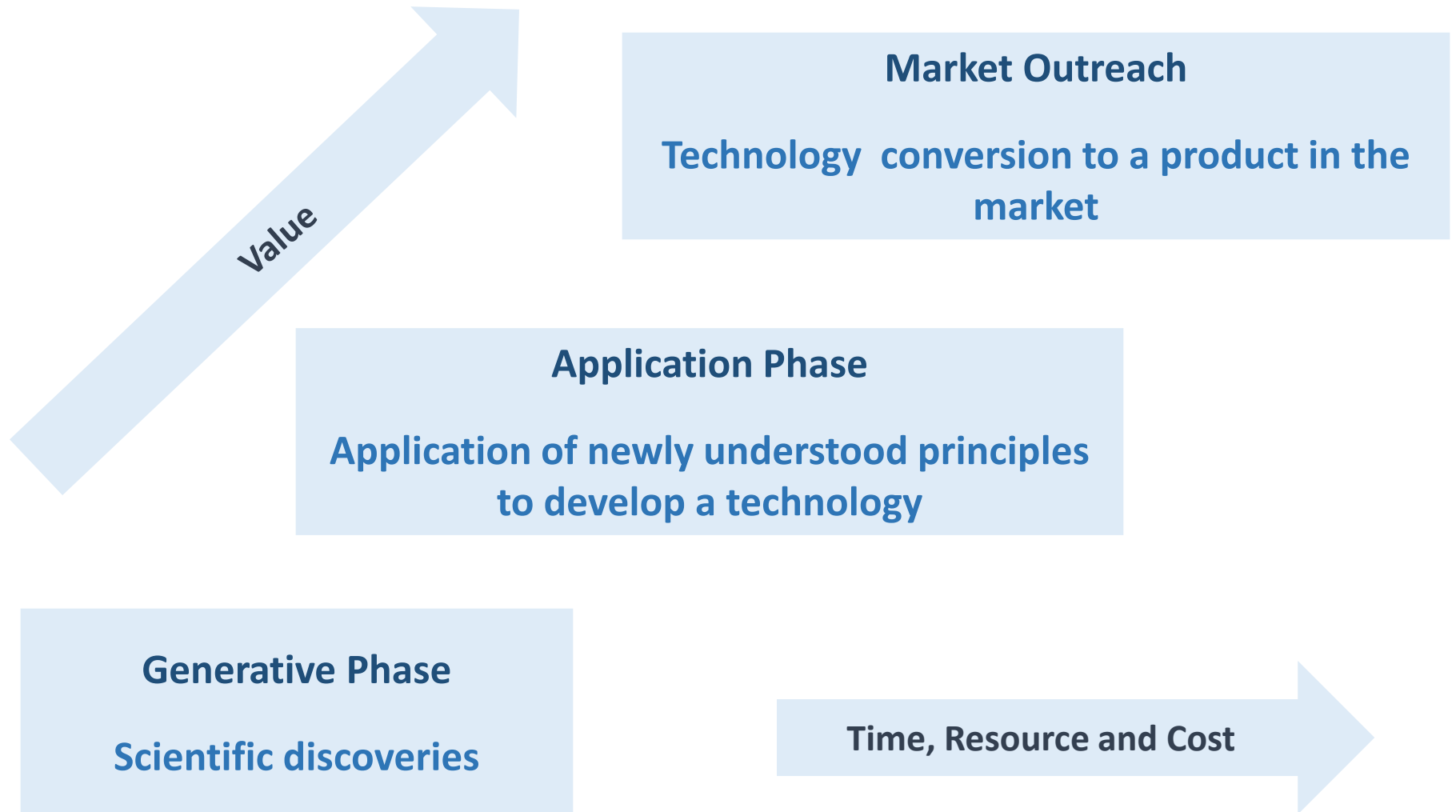


# Top-Down Approaches?

- I am not a big participant
- There are plenty of brilliant people that know how to do top-down better than me
- Everyone knows them – require good cooperation between government / business and academia
  - In the USA, for example, there is the SBIR program
  - Economic clusters and Enterprise zones
  - Nanotechnology consortiums
  - Boards of innovation
  - NGO systems
  - Etc.



# Nanotechnology...Time Criticality



# Nano-reality Space ... Densely Dotted... Crowded Nature of Inventions

$$R = \frac{\text{Corporate nanotech. patent applications}}{\text{Corporate nanotech. publications}}$$

R was 0.4 in 1999

Grew to 0.8 to 1.1 in 2003

...1 to 1.5 in 2006-2007

Surge in utilization of nano-concepts in produces /  
processes

# Fostering Nanotechnology Innovation?

- What makes this distinct from the previous case?
- What makes nanotechnology special?
- We have the same players.... Just different kinds of research
- What special policies and processes need to be created to support this burgeoning technology?





# The Bottom-Up Perspective!

- Models based on Dr. Ioan Marinescu of Toledo University and Dr. Siddharth Jabade of Vishwakarma University of Pune, India
- SIMPLE PLAN ..... Seek out industry .... Have them contribute “small, reasonable amounts of funding” ..... Conduct 1 to 2 year studies
- Key ingredient? Seek out several industries

# Perspective

## Fostering Innovation: **BUSINESS**

Fostering Innovation:  
**GREEN BUSINESS**

Fostering Innovation:  
**NANO BUSINESS**

Fostering Innovation:  
**GREEN NANO BUSINESS**

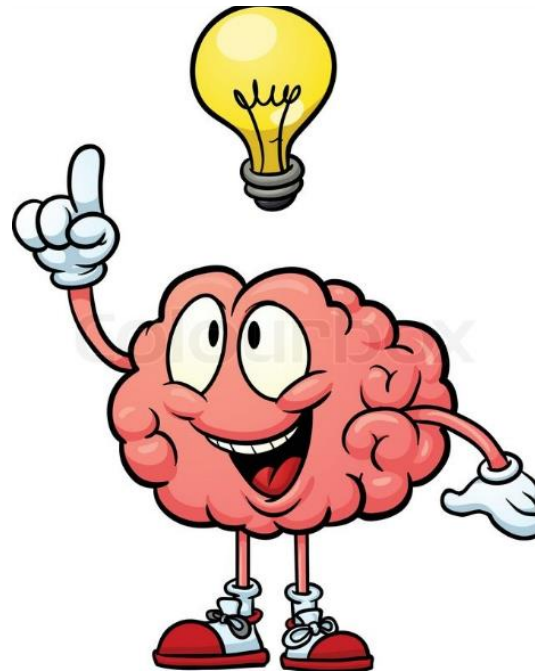
Fostering Innovation:  
**COEN@AIT**



# Nano is Easy (Maybe)

- Perhaps easy because it is so diverse that cooperative relationships with industries could and should be found

- How to do it?



# There is a new driver!

- On the average it takes about 30 years for a new material to be discovered in the lab and deployed in a commercial product” .... May be accelerated nowadays
- According to the America Physical Society (APS) “‘Designing’ new materials is relatively new territory”
- “There is a move to away from institution-driven trial and error experiments”

S. Chen, Mining Computer Simulations for New Materials, *APS News*, APS March Meeting 2017, Vol. 26(4)  
[www.aps.org/publications/apsnews/201704/mining.cfm](http://www.aps.org/publications/apsnews/201704/mining.cfm)



# It is called SIMULATION !!

## *For Industry Assistance*

- And it is very attractive to industry
- Companies help you buy the software (there are many amazing softwares out there now)
- You develop new materials and then predict macroscopic properties
- According to vendors (e.g. ANSYS™, Materials Studio™, AspenTech™ and COMSOL Multiphysics™), companies can save 10 times the effort and time required for experiments



# How can simulation, academia and industry be linked?

- Industrial research labs are expensive — how many Ph.D's can one company afford
- Yes of course, really big companies can afford to do this
- Academia has the Ph.D.'s and the experts but needs help in purchasing the software and co-processor systems and support graduate students
- With these new softwares, academia does not need more than a few Ph.D.'s to conduct simulation of highly integrated systems



# COEN@AIT's Approach?

- Government funding of research is difficult to achieve
- COEN has decided that its best opportunity lies in its ability to work with industry
- But even then, how?
- Our hook is SIMULATION – Industries love it.



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# Focus on Our Own Experience

- The rules of engagement are simple
  - Seek out industry
  - Propose “Industry Assistance”
  - Execute Simulation / Experiment cycle
  - Develop new material / prototype
- Intellectual Property / Technology Transfer
  - No road blocks. No deal breakers
  - We understand industry’s needs
- Industry endows us with more funds / projects





# How to Find Industry

- AIT has an incredible industry portfolio
- Promote “nanotechnology events” and invite industry
- Promote smaller seminars focused on a researched topic that is surely of interest to industry
- Invite single industries for discussion
- Of course, attend exhibitions and trade shows
- There are many ways



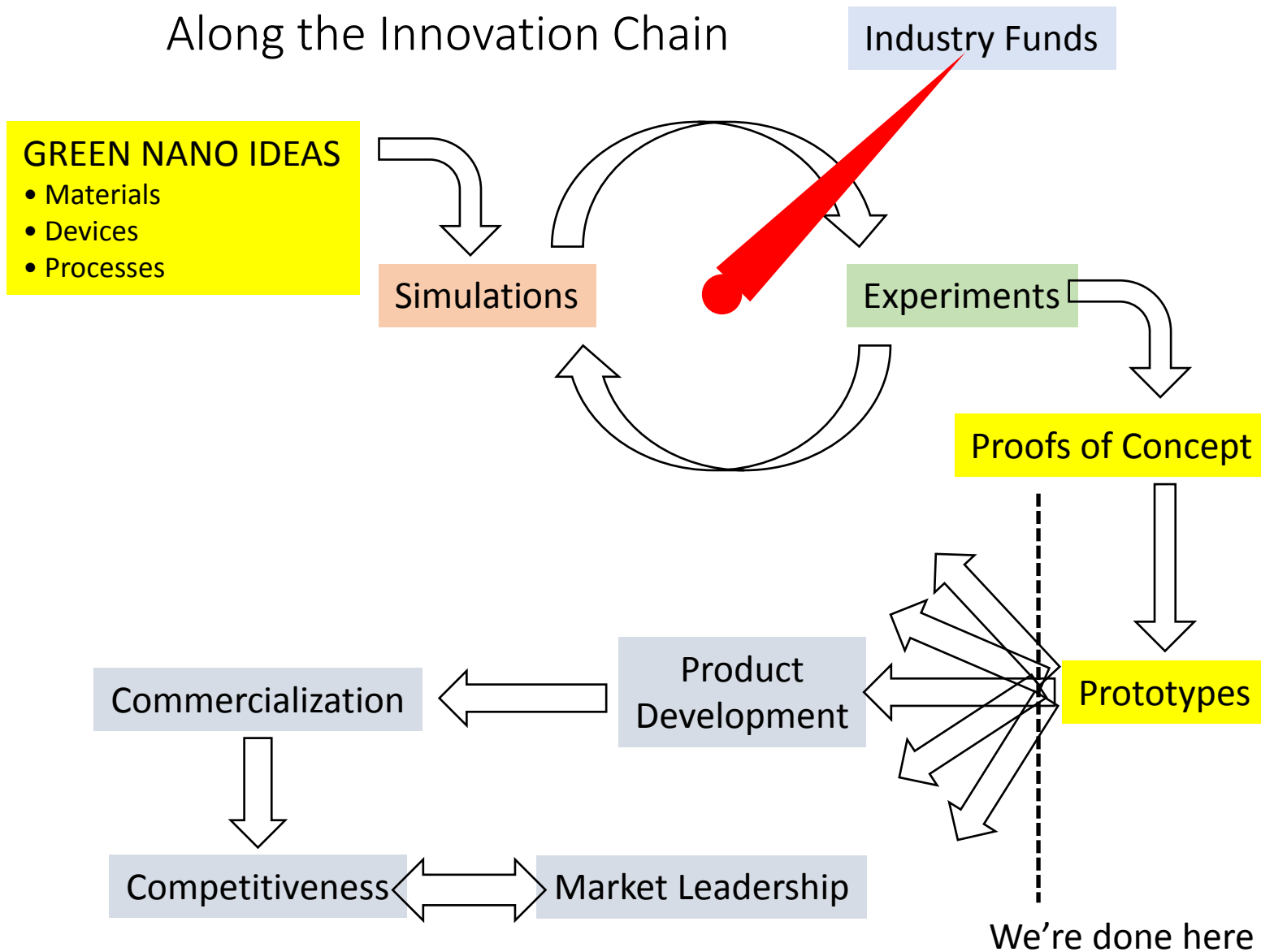
# Locking It Up

- Sign Memorandum of Agreement (MoA) that describes
  - Funding
  - Timeline (usually two to three years)
  - Expectations / deliverables
  - Non-disclosure agreements
  - Licensing and technology transfer (very simple at AIT)
- If there is added value, then the cycle can be repeated
- Deal breakers are usually big university policies and bureaucracies



# Simple Cycle

Along the Innovation Chain



# Industry Responsibility

- All the “blue boxes” need to interface with top-down sources and networks
- There are many ways for academic-industry partnerships to be exploited
- There is a great opportunity in ASEAN to form an international “green-nanotechnology” partnership
- But this is all top-down kind of policies and procedures



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# Examples of Green Nano Innovations

- Environmental sensing
- Clean car nanotechnologies
- Cellulose nanofibers
- Battery nanotechnology
- Agricultural
- Green products
- Solar cell technology

Fostering Innovation in Green Growth, Organization for Economic Cooperation and Development, OECD Green Growth Studies, 2011





# Focus on the AP/ASEAN Region

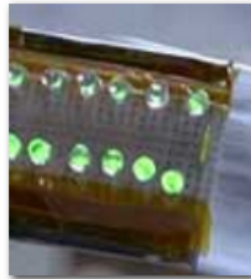
- Sustainable development and nanotechnology
- Nanotechnology and the environment
- Nanotechnology innovation, research commercialization, technology transfer and safety
- **BOTH TOP-DOWN AND BOTTUM-UP METHODS NEED TO BE ESTABLISHED**



# Innovations? Energy

## A giant leap towards inexpensive and large-scale fabrication of triboelectric nanogenerators for sustainable energy

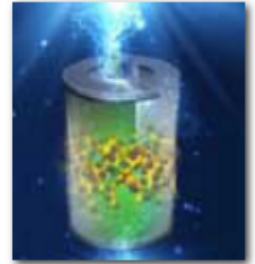
Notwithstanding the progress in extracting renewable energy from many natural resources through nanotechnologies, some 60 research groups worldwide have now begun to develop triboelectric nanogenerators (TENGs) for harvesting energy from 'good (mechanical) vibrations' including human walking and ocean waves, which are otherwise wasted. Nanostructuring the materials in a TENG device amplifies the produced energy by increasing...



Posted: Apr 12, 2017

## Improving Li-ion batteries with nanostructured conductive polymer gels

The electrode in lithium-ion (Li-ion) batteries is an integrated system in which both active materials and binder systems play critical roles in determining its final properties. In order to improve battery performance, a lot of research is focussing on the development of high-capacity active materials. However, without an efficient binder system, these novel materials can't fulfill their potentials. Researchers have now ...



Posted: Mar 28, 2017





## This New Graphene-Based Electrode Could Boost Solar Storage by 3,000%

Hello, future.

SIGNE DEAN 16 APR 2017

Drawing inspiration from the plant world, researchers have invented a new electrode that could boost our current solar energy storage by an astonishing 3,000 percent.

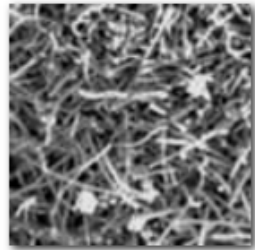
[sciencealert.com/new-graphene-based-electrode-could-boost-solar-energy-storage-by-3-000](http://sciencealert.com/new-graphene-based-electrode-could-boost-solar-energy-storage-by-3-000)



# Innovations? CO<sub>2</sub> Remediation

## Transforming greenhouse gas CO<sub>2</sub> into carbon nanotubes

In two new studies, researchers show that cement plants can have their carbon dioxide exhaust eliminated while co-producing carbon nanotubes. They demonstrate that with their C2CNT (carbon dioxide into carbon nanotubes) process, a wide portfolio of tailored carbon nanotubes, such as those with special shapes or conductivity can be made. C2CNT is a straightforward process that transforms CO<sub>2</sub> to carbon nanotubes by molten electrolysis...



Posted: Mar 21, 2017



# Application of Social Networks

- Role of multi-level social networks in developing new products: intra-cluster / inter-cluster

R.T.A.J. Leenders and W.A. Dolfsma; Social Networks for Innovation and New Product Development, *J. Product Innovation Management*, **33**(2), 123-131 (March 2016)

- Nanotechnology blogs
  - Futuretime Nanotechnology
  - Nanowerk
  - Sustainable Nano
  - Nanodot: the Original Nanotechnology Web Blog
  - Nanotech
  - Nanotechweb.org

F. Anderson, Top 5 Nanotechnology Blogs, Biolin Scientific, November 10, 2015, [blog.biolinscientific.com/top-5-nanotechnology-blogs-2015](http://blog.biolinscientific.com/top-5-nanotechnology-blogs-2015)



# Many Thanks



**APCTT**

Asian and Pacific Centre  
for Transfer of Technology



UNITED NATIONS  
**ESCAP**

Economic and Social Commission for Asia and the Pacific

- Dr. Michiko Enomoto
- Dr. Satyabrata Sahu
- Dr. Surya Prakash Narayanamurthy
- Dr. Raju Rana
- Dr. Krishnan Srinivasaraghavan
- Dr. Mohd Helme Bin Mohd Helan
- Moushumi Das



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